

United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

LSHINGTON,	D.C.	20231	
LE ∨	WW.E	pto.gov	

 APPLICATION NUMBER
 FILING DATE
 GRP ART UNIT
 FIL FEE REC'D
 ATTY.DOCKET.NO
 DRAWINGS
 TOT CLAIMS
 IND CLAIMS

 09/868,752
 09/04/2001
 3713
 990
 05222.00165
 16
 18
 3

Andersen Consulting L Keith Stephens 1661 Page Mill Road Palo Alto, CA 94304



CONFIRMATION NO 6533
FILING RECEIPT
OCCO0000006569322

Date Mailed: 09/18/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination of Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon in you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Mark Stewart Nichols, Downers Grove, IL;

RECEIVED

APR 2 3 2002

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/US99/02717 02/08/1999

Technology Center 2100

Foreign Applications

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

JUL 3 0 2002

GROUP (J)0



Title

System, method and article of manufacture for a goal based flow of control presentation system

Preliminary Class

434

CEIVED
PR 26 2002

Data entry by : ORDENEZ, MARTA

Team: OIPE

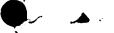
Date: 09/18/2001

5











GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If months has lapsed from the filing date of this application and the licensee has not received any indicate not be secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 2.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination **Customer Service Center** Washington, DC 20231

Please type a plus sign (+) inside this box

PTO/SB/21 (08-00)

+) inside this box

+ Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number		09/868,752			
		Filing	Filing Date		08 February 1999		
		First Named Inventor Nichols					
		Group	Art Unit				
		Examir	ner Name				
Total Number of Pages in This Submission 8		8	Attome	y Docket Number	05222.001	65	
ENCLOSURES (check all that apply)							
Fee Transmittal Form		Assignment Papers (for an Application)		s	After Al	lowance Communication to Group	
Fee Attached Draw		☐ Drawing(ng(s)			Communication to Board of Appeals efferences	
Amendment / Respon	se	Licensing	ensing-related Papers		Appeal Notice, i	Communication to Group (Appeal Brief, Reply Brief)	
			Petition Routing Slip (PTO/SB/69) and Accompanying Petition			ary Information	
— I —			o Convert nal Applic		☐ Status t	.etter	
Extension of Time Re				Attomey, Revocation of Correspondence Address Other Enclosure(s) (please identify below):			
□ c Abd	at Danwart	Terminal			nse to Notification of Missing ement under 35USC371		
Express Abandonment Request		Request	est for Refund			of Combined Declaration and of Attorney filed in US Application	
Information Disclosure	Information Disclosure Statement		nber of CD	(s)	No. 09/221,217		
Certified Copy of Priority Document(s)		Remar	The Commissioner is authorized to charge all fees required to Deposit Account No. 01-0850.				
Response to Missing Application	Parts/ Incomplete			I			
Response to Missing Parts under 37 CFR 1.52 or 1.53							
	SIGI	NATURE OF	APPLIC	ANT, ATTORNEY, OR	AGENT		
Firm or	Charles L. Miller (Reg			·			
Individual name	Banner & Witcoff, Ltd.						
Signature	Clypth L. Mill						
Date	September 4, 2001						
CERTIFICATE OF MAILING							
I hereby certify that this correspor Patents, Washington, D.C. 2023		with the United St	ates Postal S	Service, via Express Mail, in an e	nvelope addressed	to: BOX PCT - Assistant Commissioner for	
September 4, 2001							
Typed or printed name			-		_		
Signature					Date	September 4, 2001	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No. 05222.00165)

In the	Application of:)	
	Nichols)	
Serial	No.: 09/868,752)	Examiner: Deborah D. Williams
Filed:	February 8, 1999)	Attn: DO/EO/US
For:	A System, Method and Article of Manufacture for a Goal Based Flow of Control Presentation System)	

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 USC 371

BOX PCT Assistant Commissioner For Patents Washington, D.C. 20231

Sir:

This is in response to the Notification of Missing Requirements Under 35 USC 271 mailed on July 25, 2001. In order to complete the filing requirements, Applicants enclose herewith copies of the Oath and Declarations executed in U.S. Patent Application No. 09/221,217, in pursuance with the provisions of MPEP 602.05(a). U.S. Patent Application No. 09/221,217 is the parent application and contains the same inventive entity. Also enclosed is a copy of said Notification of Missing Requirements.

The Commissioner is authorized to charge all fees required to Deposit Account No. 01-0850.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Date: September 4, 2001

Charles L. Miller Reg. No. 43,805



094868752 531 Rec'd F3777 20 JUN 2001

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail No. EL735227905US

Deposited June 2, 2001

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box PCT, Attention: DO/EO/US, Washington, DC 20231.

By:

(person actually depositing)

Patent Application of: NICHOLS, et al.

Title: A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A GOAL BASED FLOW OF CONTROL PRESENTATION SYSTEM

- X PTO Form 1390 Transmittal Letter Concerning a Filing under 35USC371 (2 sheets)
- X International Publication WO 00/38142
- X International Preliminary Examination Report
- X 2 Forms PCT/IB/306
- X Certificate of Express Mailing
- X Return Postcard

Attorney Docket No.: 05222.00165